

Annex 1

To the AGREEMENT GOVERNING THE DELEGATION OF STATUTORY CERTIFICATION SERVICES FOR SHIPS REGISTERED IN THE REPUBLIC OF SRI LANKA

between
THE GOVERNMENT OF THE REPUBLIC OF SRI LANKA
and
DNV GL AS

Applicable Instruments and Degree of Authorization

This is Appendix 1 to the Agreement dated 16/03/2016 between Government of the Republic of Sri Lanka (the Administration) and DNV GL AS together called "the Parties".

The Parties have agreed on the following:

1. DNV GL AS is hereby authorized as listed below to carry out statutory certification services on behalf of the Administration with respect to ships and mobile offshore units, and registered in the Republic of Sri Lanka in accordance with the applicable instruments as mentioned below.

The following three types of authorizations apply as noted:

- F: Full authorization to perform review of plans, documents and manuals, approve and inspect materials and equipment and carry out surveys, audits and inspections and issue and/or revoke necessary interim and full-term certificates.
- P: Partial authorization to perform review of plans, documents and manuals, carry out surveys, audits and inspections and possible issue of interim certificates. (Specific guidance is to be provided by the Administration and full-term certificate is to be issued by the Administration).
- L: Limited authorization to account for other special categories not covered by the above, such as case-by-case authorization or geographical limitations.

2. The listing of instruments, and type of authorization is as follows:

1.	SOLAS 1974 AND PROTOCOL 1978/1988	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
1.1	Cargo Ship Safety Construction Certificate	F	F	F	F
1.2	Cargo Ship Safety Equipment Certificate	F	F	F	F
1.3	Cargo Ship Safety Radio Certificate	F	F	F	F
1.4	Cargo Ship Safety Certificate	F	F	F	F
1.5	Passenger Ship Safety Certificate	F	F		F
1.6	SPECIAL PURPOSE SHIPS				
1.6.1	Special Purpose Ship Safety Certificate IMO Res.A.534(13)	F	F	F	F
1.6.2	Special Purpose Ship Safety Certificate (2008 SPS Code)	F	F	F	F
1.7	CARRIAGE OF LIQUEFIED GASES IN BULK				
1.7.1	Certificate of Fitness for ships built prior to 31 September 1976 (EGC Code)	F	F	F	F
1.7.2	Certificate of Fitness for ships built after 31 September 1976 but before 1 July 1986 (GC Code)	F	F	F	F
1.7.3	Certificate of Fitness for ships built after 1 July 1986 (IGC Code)	F	F	F	F
1.8	CARRIAGE OF DANGEROUS CHEMICALS IN BULK				
1.8.1	Certificate of Fitness for ships built before 1 July 1986 (BCH Code)	F	F	F	F
1.8.2	Certificate of Fitness for ships built after 1 July 1986 (IBC Code)	F	F	F	F
1.9	Document of Authorization for the Carriage of Grain in Bulk				F
1.10	Document of Compliance with the Special Requirements for Ships carrying Dangerous Goods	F	F		F
1.11	ISM CODE				
1.11.1	Document of Compliance, (ISM Code)	L	L	L	L
1.11.2	Safety Management Certificate (ISM Code)	L	L	L	L
1.12	International Ship Security Certificate (ISPS Code)	F	F	F	F
1.13	HIGH-SPEED-CRAFT CODES				
1.13.1	High-Speed-Craft Safety Certificate (HSC Code 1994)	F	F		F

1.13.1	High-Speed Craft Safety Certificate (HSC Code 2000)	F	F		F
1.14	Document of Compliance Solid Bulk Cargoes (IMSBC)	F	F		F
1.15	Cargo Securing Manual				F
2.	MARPOL CONVENTION 1973/78, as amended	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
2.1	ANNEX I: International Oil Pollution Prevention Certificate (IOPP)	F	F	F	F
2.2	ANNEX II:				
2.2.1	International Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk (NLS)	F	F	F	F
2.2.2	Certificate of Fitness issued under the provision of the Guidelines for the transport and handling of hazardous and noxious liquid substances in bulk in offshore support vessels (resolution A.673(16))	F	F	F	F
2.3	ANNEX IV : International Sewage Pollution Prevention Certificate (ISPP)	F	F		F
2.4	ANNEX V: Garbage Management Plan				F
2.5	ANNEX VI :				
2.5.1	International Air Pollution Prevention Certificate (IAPP)	F	F	F	F
2.5.2	Engine International Air Pollution Prevention Certificate (EIAPP) ¹	F			
2.5.3	International Energy Efficiency Certificate (IEEC)	F			F
2.5.4	SOx Emission Compliance Certificate ⁶	F			
3.	INTERNATIONAL CONVENTION ON THE REGULATIONS ON PREVENTING COLLISIONS AT SEA, 1972	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
3.1	Approval of drawings and specifications, survey of installations				F
4.	INTERNATIONAL CONVENTION ON TONNAGE MEASUREMENT OF SHIPS, 1969	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
4.1	International Tonnage Certificate (ITC 69)	F			F
5.	ILO CONVENTIONS	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
5.1.	ILO Conventions on Accommodation of Crews, No. 92, 133,147	F			F

5.2	ILO Cargo Gear Convention No. 152	F	F	F	F
5.3	MARITIME LABOUR CONVENTION, 2006 (MLC, 2006)				
5.3.1	Maritime Labour Certificate (MLC)	F	F	F	
5.3.2	Review of DMLC II against DMLC I ³				F
5.3.3	Statement of Compliance Issued under the provisions of the Maritime Labour Convention, 2006 concerning ship construction and equipment according to regulation 3.1	F			F
6.	INTERNATIONAL CONVENTION ON LOAD LINES, 1966, 1988 PROTOCOL	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
6.1	International Load Line Certificate	F	F	F	F
7.	CODES FOR THE CONSTRUCTION AND EQUIPMENT OF MOBILE OFFSHORE DRILLING UNITS	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
7.1	Mobile Offshore Drilling Unit Safety certificate (1979)	F	F	F	F
7.2	Mobile Offshore Drilling Unit Safety certificate (1989)	F	F	F	F
7.3	Mobile Offshore Drilling Unit Safety certificate (2009)	F	F	F	F
8	INTERNATIONAL CONVENTION FOR THE CONTROL AND MANAGEMENT OF SHIPS' BALLAST WATER AND SEDIMENTS, 2004 (BWM) ⁴	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
8.1	International Ballast Water Management Certificate	F	F	F	F
8.2	Approval of ballast water management systems in accordance with MEPC.174(58)				F
9.	INTERNATIONAL CONVENTION ON THE CONTROL OF HARMFUL ANTI-FOULING SYSTEMS ON SHIPS, 2001 (AFS)	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
9.1	International Anti-fouling System Certificate	F	F		
10.	CODE OF SAFETY FOR DYNAMICALLY SUPPORTED CRAFT (DSC Code)	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
10.1	Dynamically Supported Craft Construction and Equipment Certificate	F	F		F
11.	CODE OF SAFETY FOR DIVING SYSTEMS, 1995	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
11.1	Diving System Safety Certificate	F	F	F	F
12.	CODE OF SAFE PRACTICE FOR SHIPS CARRYING TIMBER DECK CARGOES ²	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
12.1	Document of Compliance				F

13.	CODE OF SAFE PRACTICE FOR CARGO STOWAGE AND SECURING²	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
13.1	Document of Compliance				F
14.	TORREMOLINOS INTERNATIONAL CONVENTION	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
14.1	International Fishing Vessel Safety Certificate (1977 Torremolinos Protocol)	F	F	F	F
14.2	International Fishing Vessel Safety Certificate (1993 Torremolinos Protocol)	F	F	F	F
14.3	International Fishing Vessel Safety Certificate (Cape Town Agreement of 2012)	F	F	F	F
14.4	Certificate of Compliance for a Fishing Vessel (EU Directive 97/70/EC)	F	F	F	F
15	HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009 (HKSRC 2009)^{4,5}	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
15.1	International Certificate on Inventory of Hazardous Materials	F	F	F	F
15.2	International Ready for Recycling Certificate	F			
16	REGULATION (EU) No 1257/ 2013 ON SHIP RECYCLING^{4,5}	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
16.1	Statement of Compliance on Inventory of Hazardous Materials	F	F		F
17	International Code of Safety for Ships Operating in Polar Waters (Polar Code)⁴	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
17.1	Polar Ship Certificate	F	F	F	F
18	CODE OF PRACTICE FOR THE CARRIAGE OF CARGOES AND PERSONS BY OFFSHORE SUPPLY VESSELS (OSV CODE),	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
18.1	Offshore Supply Vessel Document of Compliance according to Res. MSC.235(82)	F			F
19	OTHER CERTIFICATES	Type of Authorization			
		IS/A	RS/A	AIPS/A	AP
19.1	Certificate of Survey of Lifts	F	F	F	F
* Authorization: F / P / L: See above.					

IS / A : Initial Survey / Audit
RS / A : Renewal Survey / Audit
AIPS / A : Annual / Intermediate or Annual / Periodical Survey / Audit
AP : Approval

Notes:

- ¹ Pre-certification survey instead of initial survey
 - ² Authorization for the issue of a full-term certificate for which no survey is required
 - ³ The Declaration of Maritime Labour Compliance shall consist of two parts - the DMLC Part I which is drawn up by the Administration, and the DMLC Part II which is drawn up by the Ship owner, both of which are supplied to DNV GL. DNV GL will review Part II against Part I and will certify Part II following successful inspection of the ship
 - ⁴ As the relevant Convention is presently not in force, the certificate may be issued only as Statement of Compliance
 - ⁵ Restricted to the statutory certification services for ships and not limited to ships being classed by DNV GL
 - ⁶ Authorization for SOx Compliance Certificate includes authorization to complete section 2.6 of the Supplement to the IAPP Certificate on Equivalents.
- Where certain instruments have not come into effect for the Administration, DNV GL AS shall designate the Certificates as 'Document of Compliance'.

For DNV GL AS

For THE GOVERNMENT OF

The Republic of Sri Lanka



Torsten Schramm

President Maritime

Date: 10 May 2016



Date: 16/05/2016